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PATENT Docket No.: 1176/220

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Julie Nguyen

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Chang, Yi-Hui

Serial No.: 10/799,502

Filing Date: March 11, 2004

For: LIGHT COUPLING STRUCTURE ON

LIGHT GUIDE PLATE IN A

BACKLIGHT MODULE (as previously

amended)

Examiner: Chowdhury, Tarifur Rashid

Group Art Unit: 2871

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.  $\sqrt{N}$ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance. A fee is required. A credit card payment form (PTO-2038) for payment of the fees set forth in 37 C.F.R. § 1.17(p) is attached.  $\boxtimes$ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due. After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. A credit card payment form (PTO-2038) for payment of the fees set forth in 37 C.F.R. § 1.17(p), and a Certification under 37 C.F.R. § 1.97(e) are provided herein. The undersigned declares that:  $\boxtimes$ Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

This Information Disclosure Statement is submitted:

Serial No.: 10/799,502 Docket No.: 1176/220

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 501288** referencing docket no.

1176/220.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08,

indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a

representation that: (i) a complete search has been made; (ii) additional information material to

the examination of this application does not exist; (iii) the information, protocols, results and the

like reported by third parties are accurate or enabling; or (iv) the above information constitutes

prior art to the subject invention.

Dated: February 8, 2007

Respectfully submitted,

By:

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/Wen Liu, Reg. #32822/

Wen Liu

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Serial No.: 10/799,502

Docket No.: 1176/220



PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                           |  |  |
|------------------------|---------------------------|--|--|
| Application Number     | 10/799,502                |  |  |
| Filing Date .          | March 11, 2004            |  |  |
| First Named Inventor   | Chang, Yi-Hui             |  |  |
| Art Unit               | 2871                      |  |  |
| Examiner Name          | Chowdhury, Tarifur Rashid |  |  |
| Attorney Docket Number | 1176/220                  |  |  |

|                    | U. S. PATENT DOCUMENTS                           |  |  |  |  |
|--------------------|--|--|--|--|--|
| Examiner Initials* | Cite<br>No.1                                     | Document Number                          | Publication Date<br>MM-DD-YYYY                   | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
|                    | ļ  | Number-Kind Code <sup>2 (if known)</sup> |  |  | Figures Appear   |
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| FOREIGN PATENT DOCUMENTS |                          |  |                             |  |   |    |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | Т6 |
| <u>-</u>                 | 1.                       | JP 2001-23423  | 01-26-2001                  | Citizen Electronics                                |   |    |
|                          | 2.                       | CN 2599602   | 01-14-2004                  | Meiyan Entpr Group Co Ltd                          |   |    |
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| Examiner  | —————————————————————————————————————— | Date       |  |
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| Signature |  | Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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